

INFORMATION SECURITY POLICY

The management of Exclusive Markets Limited, located at Suite 18, Third Floor, Vairam Building, Providence, Mahé, Seychelles, which [operates in financial services sector], are committed to preserving the confidentiality, integrity and availability of all the physical and electronic information assets, including personally identifiable information (PII), throughout the organization throughout their organization in order to preserve its competitive edge, cash-flow, profitability, legal, regulatory and contractual compliance and commercial image. Information and information security requirements will continue to be aligned with Exclusive Markets Limited goals and the ISMS is intended to be an enabling mechanism for information sharing, for electronic operations, [for e-trading] and for reducing information-related risks to acceptable levels.

Scope of the Management System

Provision of services according to the authorization by the Financial Service Authority of Seychelles, in accordance with the Statement of Applicability (SoA), Version 2, dated 12th September 2024.

Exclusive Markets Limited current strategic business plan and risk management framework provide the context for identifying, assessing, evaluating and controlling information- and privacy - related risks through the establishment and maintenance of an ISMS.

The Risk Assessment, Statement of Applicability and Risk Treatment Plan identify how information - and privacy-related risks are controlled. The management is responsible for the management and overseeing the maintenance of the risk treatment plan. Additional risk assessments may, where necessary, be carried out to determine appropriate controls for specific risks.

In particular, business continuity and contingency plans, data backup procedures, avoidance of viruses and criminal hackers, access control to systems, and information security and privacy incident reporting are fundamental to this policy. Control objectives for each of these areas are contained in the Information Security Manual are supported by specific documented policies and procedures.

Exclusive Markets Limited aims to achieve specific, defined information security and privacy objectives, which are developed in accordance with the business objectives, the context of the organization, the results of risk assessments and the risk treatment plan.

All Employees/Staff Exclusive Markets Limited and certain external parties identified in the

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ISMS are expected to comply with this policy and with the ISMS that implements this policy. All Employees/Staff, and certain external parties, will receive [/be required to provide] appropriate training. The consequences of breaching the information security and privacy policies are set out in Exclusive Markets Limited disciplinary policy and in contracts and agreements with third parties.

The ISMS is subject to continuous, systematic review and improvement.

Exclusive Markets Limited has established a top-level management steering group/ Information Security Committee to support the ISMS framework and to periodically review the security policy.

Exclusive Markets Limited is committed to achieving certification of its ISMS to ISO 27001:2022.

This policy will be reviewed to respond to any changes in the risk assessment or risk treatment plan and at least annually.

In this policy, 'information security' is defined as:

Preserving

This means that management, all full - or part-time Employees/Staff, sub-contractors, project consultants and any external parties have, and will be made aware of, responsibilities (which are defined in their job descriptions or contracts) to preserve information security and privacy, to report security and privacy breaches (in line with the policy and procedures identified in the Information Security Manual) and to act in accordance with the requirements of the ISMS. All Employees/Staff will receive information security and privacy awareness training, and more specialized Employees/Staff will receive appropriately specialized information and privacy security training.

the confidentiality,

This involves ensuring that information is only accessible to those authorized to access it and therefore preventing both deliberate and accidental unauthorized access to Exclusive Markets Limited information *[and proprietary knowledge]* and its systems *[including its network(s), website(s), extranet(s), and e-trading systems]*, catering also various GDPR specific control/compliance requirements.]

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integrity

This involves safeguarding the accuracy and completeness of information and processing methods, and therefore requires preventing deliberate or accidental, partial or complete, destruction or unauthorized modification, of either physical assets or electronic data. There must be appropriate contingency [including for network(s), e-commerce system(s), website(s), extranet(s)] and data backup plans along with security and privacy incident reporting. to Exclusive Markets Limited must comply with all relevant data- and privacy-related legislation in those jurisdictions within which it operates.

and availability

This means that information and associated assets should be accessible to authorized Users when required and therefore physically secure. The computer network must be resilient and to Exclusive Markets Limited must be able to detect and respond rapidly to incidents (such as viruses and other malware) that threaten the continued availability of assets, systems and information. There must be appropriate business continuity plans.

of the physical (assets)

The physical assets of Exclusive Markets Limited, including, but not limited to, computer hardware, data cabling, telephone systems, filing systems and physical data files.

and information assets

The information assets include information (whether PII or otherwise) printed or written on paper, transmitted by post or shown in films, or spoken in conversation, as well as information stored electronically on servers, website(s), extranet(s), intranet(s), PCs, laptops, mobile phones and PDAs, as well as on CD ROMs, floppy disks, USB sticks, backup tapes and any other digital or magnetic media, and information transmitted electronically by any means.

In this context, 'data' also includes the sets of instructions that tell the system(s) how to manipulate information (i.e. the software: operating systems, applications, utilities, etc.). of to Exclusive Markets Limited.

to Exclusive Markets Limited and such partners that are part of our integrated network and have signed up to our information security and privacy policy and have accepted our ISMS.

The **ISMS** is the information security management system, of which this policy [the Information Security Manual] and other supporting and related documentation are a part, and

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which has been designed in accordance with the specifications contained in ISO 27001:2022.

A **security breach** is any incident or activity that causes, or may cause, a breakdown in the confidentiality, integrity or availability of the physical or electronic information assets of to Exclusive Markets Limited.

A **privacy breach** is any incident or activity that causes, or may cause, a breakdown in the confidentiality, integrity or availability of the PII assets of to Exclusive Markets Limited.